

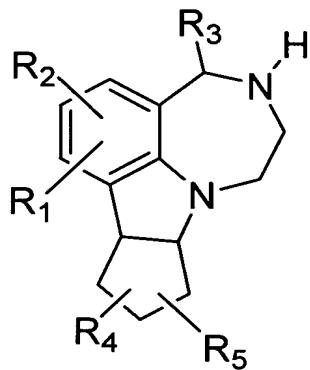
Amendments to the Claims

This listing of claims will replace all prior versions and listings of claims in the application:

Listing of Claims

Claims 1 to 12 (cancelled)

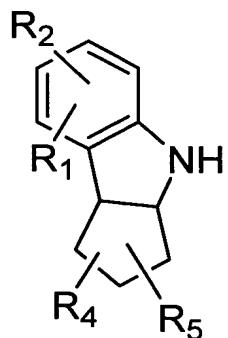
13. (Currently amended) A process for synthesis of a compound of the formula:



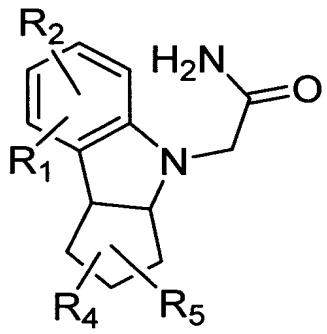
wherein R₁, R₂, R₄ and R₅ are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

R₃ is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:

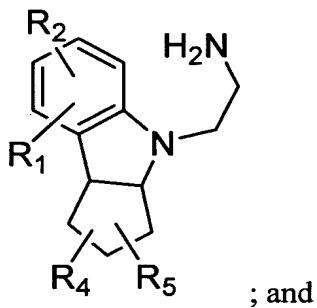
a) converting treating a cyclopenta[b]indole compound of the formula:



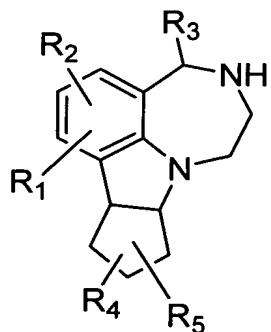
with an electrophile to form an optionally substituted cyclopenta[b]indol-4-ylacetamide compound of the formula:



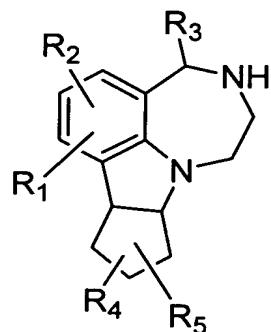
b) reducing treating the optionally substituted cyclopenta[b]indol-4-ylacetamide of step a) with a reducing agent to form the corresponding optionally substituted cyclopenta[b]indol-4-yl-amine of the formula:



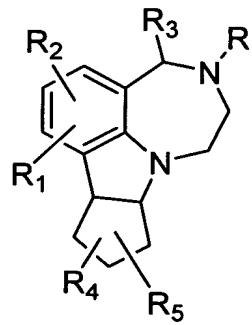
c) cyclizing treating the cyclopenta[b]indol-4-yl-amine of step b) with an aldehyde in the presence of an acid to form an optionally substituted diaza-benzo[cd]cyclopenta[a] azulene compound of the formula:



14. (Previously presented) The process of Claim 13 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

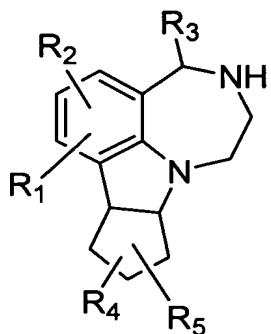


with an alkylating agent to produce a compound of the formula:

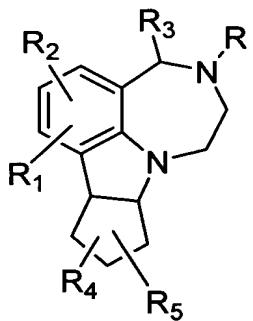


wherein R is alkyl of from 1 to 6 carbon atoms and R₁, R₂, R₃, R₄ and R₅ are as defined in Claim 13.

15. (Previously presented) The process of Claim 13 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

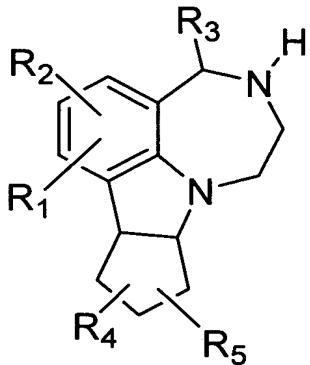


with an acylating agent to produce a compound of the formula:



wherein R is $-C(O)R'$; R' is alkyl of from 1 to 6 carbon atoms or aryl;
and R₁, R₂, R₃, R₄ and R₅ are as defined in Claim 13.

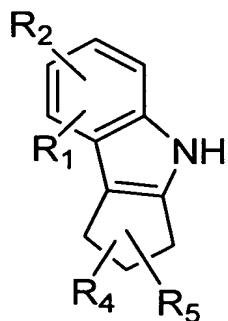
16. (Currently amended) A process for preparing a compound of the formula:



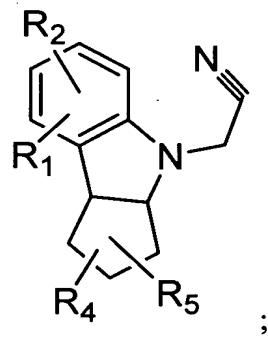
wherein R₁, R₂, R₄ and R₅ are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

R₃ is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:

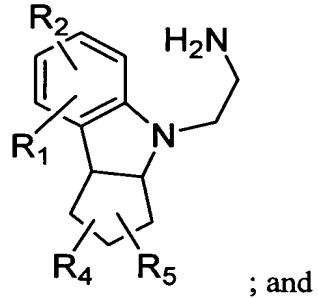
- a) converting treating an optionally substituted cyclopenta[b]indole compound of the formula:



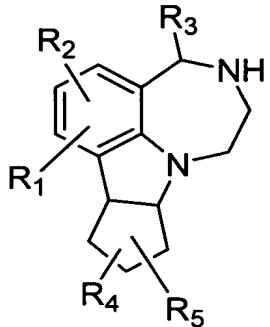
with an electrophile to form an optionally substituted nitrile compound of the formula:



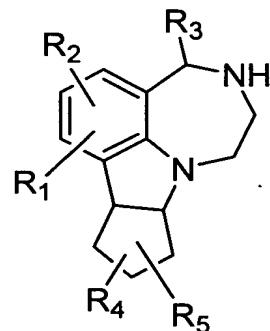
- b) reducing treating the optionally substituted nitrile compound of step a) with a reducing agent to provide an optionally substituted amine compound of the formula:



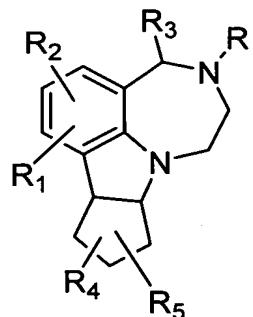
c) polymerizing treating the amine compound of step b) with an aldehyde in the presence of an acid to form an optionally substituted diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:



17. (Previously presented) The process of Claim 16 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

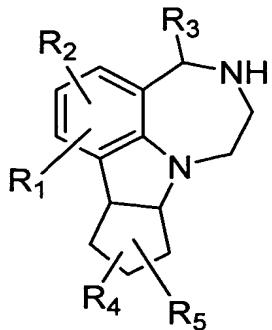


with an alkylating agent to produce a compound of the formula:

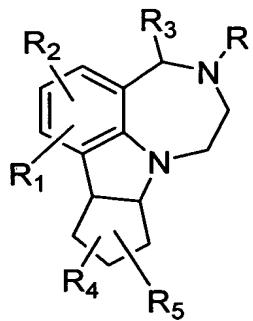


wherein R is alkyl of from 1 to 6 carbon atoms and R₁, R₂, R₃, R₄ and R₅ are as defined in Claim 16.

18. (Previously presented) The process of Claim 16 further comprising the step of treating the diaza-benzo[cd]cyclopenta[a]azulene compound of the formula:

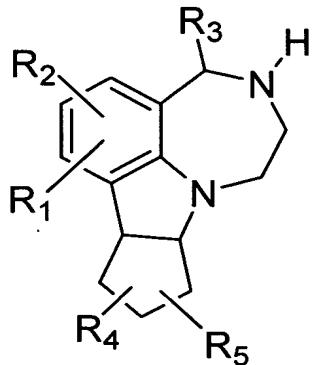


with an acylating agent to produce a compound of the formula:



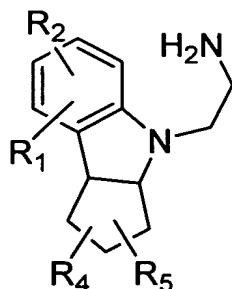
wherein R is $-C(O)R'$; R' is alkyl of from 1 to 6 carbon atoms or aryl;
and R₁, R₂, R₃, R₄ and R₅ are as defined in Claim 16.

19. (Currently amended) A process for preparing a compound of the formula:

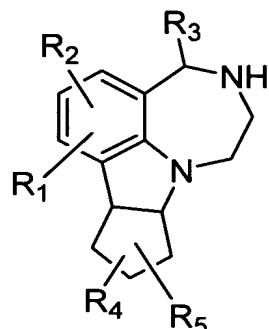


wherein R₁, R₂, R₄ and R₅ are each, independently, hydrogen, hydroxy, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, halogen, fluorinated alkyl of from 1 to 6 carbon atoms, -CN, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl or aroyl;

R₃ is hydrogen, alkyl of 1-6 carbon atoms, cycloalkyl, alkoxy of 1-6 carbon atoms, fluorinated alkyl of from 1 to 6 carbon atoms, -NH-SO₂-alkyl of 1-6 carbon atoms, -SO₂-NH-alkyl of 1-6 carbon atoms, alkyl amide of 1-6 carbon atoms, amino, alkylamino of 1-6 carbon atoms, dialkylamino of 1-6 carbon atoms per alkyl moiety, fluorinated alkoxy of 1-6 carbon atoms, acyl of 2-7 carbon atoms, aryl, or aroyl; the process comprising the steps of:
eyelizing treating an optionally substituted amine compound of the formula:



with an aldehyde in the presence of an acid to provide an optionally substituted diazabenzocd]cyclopenta[a]azulene compound of the formula:



wherein R₁, R₂, R₃, R₄ and R₅ are defined as above.

Claims 20 to 22 (canceled)

23. (NEW) The process of Claim 19 wherein the aldehyde comprises at least formaldehyde or acetaldehyde.

24. (NEW) The process of Claim 23 wherein the acid comprises at least trifluoroacetic acid.